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PTO/SB/21 (08-00)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/054,749

Filing Date January 18, 2002

First Named Inventor Stork

Group Art Unit 2171

Examiner Name Unassigned

Attorney Docket Number 015358-006710US

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Total Number of Pages in This Submission

1

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Supplemental Information
Disclosure Statement

☐ Certified Copy of Priority
Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing
Parts under 37 CFR
1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69)
and Accompanying Petition

☐ Petition to Convert to a
Provisional Application

☐ Power of Attorney, Revocation
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☐ After Allowance Communication to
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☐ Appeal Communication to Board of
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(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)
(please identify below):

1) Return Receipt Postcard

2) 1 IDS reference

3) SB/08A and SB/08B

Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
and
Individual name

Townsend and Townsend and Crew LLP

Sujit B. Kotwal

Reg No. 43,336

Signature

S. B. Kotwal

Date

August 21, 2002

CERTIFICATE OF MAILING

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PATENT
Attorney Docket No.: 015358-006710US

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In re application of:

DAVID G. STORK et al.

Application No.: 10/054,749

Filed: January 18, 2002

For: METHOD AND APPARATUS FOR
PERMISSIONS BASED ACTIVE
DOCUMENT WORKFLOW

Examiner: Unassigned

Art Unit: 2171

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/958,000, filed September 20, 2001 (Attorney Docket No. 015358-006700US) from which the present application claims priority, with the exception of reference AA, a copy of which is attached. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

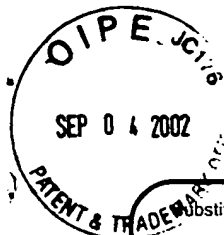
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Respectfully submitted,

S. B. Kotwal

Sujit B. Kotwal
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Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

2

of

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Complete if Known

Application Number	10/054,749
Filing Date	January 18, 2002
First Named Inventor	Stork, David G.
Art Unit	2171
Examiner Name	Unassigned
Attorney Docket Number	015358-006710US

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AB	BRAUER et al., "Survey of Behaviour and Equivalence Preserving Refinements of Petri Nets," Grzegorz Rozenberg, eds., in <i>Advances in Petri Nets 1990</i> , No. 483, in <i>Lecture Notes in Computer Science (LNCS)</i> , PAGES 1-46.	
	AC	GENRICH et al., "Predicate / Transition Nets," from <i>Lecture Notes in Computer Science</i> , Goos and Hartmanis eds., vol. 254, <i>Petri Nets: Central Models and Their Properties</i> , Brauer et al., eds., pages 208-247, Springer-Verlag (1987).	
	AD	KOHLER et al., "Modeling the Structure and Behaviour of Petri Net Agents," from <i>Lecture Notes in Computer Science</i> , vol. 2075, pages 224-241 (2001), Colom & Koutny eds., Springer-Verlag (2001).	
	AE	SMITH, E., "Principles of High-Level Net Theory," from <i>Lecture Notes in Computer Science</i> , vol. 1491, pages 174-210 (1998), Reisig & Rozenberg Eds., Springer-Verlag (1998).	
	AF	VALK, R., "Petri Nets as Token Objects, An Introduction to Elementary Object Nets," from <i>Lecture Notes in Computer Science</i> , vol. 1420, pages 1-25, Springer-Verlag (1989).	

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Considered

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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